

Additions underscored  
Deletions [bracketed]

## NYSE Arca Options Fees and Charges

Effective Date: February 25, 2026

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### NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>

Unless Professional Customer executions are specifically delineated, such executions will be treated as "Customer" executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as "Non-Customers."

A "Penny" issue or class refers to option classes that participate in the Penny Interval Program, as described in Rule 6.72A-O; whereas a "non-Penny" issue or class refers to option classes that do not participate in the Penny Interval Program, as described in Rule 6.72A-O.

#### TRANSACTION FEE FOR MANUAL EXECUTIONS - PER CONTRACT

	<u>MXEA, MXEF<sup>5</sup></u>	<u>Other Manual Executions<sup>5</sup></u>
Order Type		
LMM <sup>18</sup>	<u>\$0.45</u>	\$0.50
NYSE Arca Market Maker <sup>18</sup>	<u>\$0.45</u>	\$0.50
Firm and Broker Dealer	<u>\$0.25</u>	\$0.25
Professional Customer	<u>\$0.25</u>	\$0.00
Customer	<u>\$0.25</u>	\$0.00
Firm Facilitation and Broker Dealer facilitating a Customer or Professional Customer <sup>7</sup>	<u>N/A</u>	\$0.00

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FIRM AND BROKER DEALER MONTHLY FEE CAP<sup>19</sup> \$250,000 cap per month on combined (a) Firm Proprietary Fees for Manual (Open Outcry) Executions, (b) Broker Dealer Fees for transactions in standard option contracts cleared in the customer range for Manual (Open Outcry) Executions, and (c) QCC transactions, exclusive of Strategy Executions, Royalty Fees and firm trades executed via a Joint Back Office agreement.<sup>9</sup> Once a Firm or Broker Dealer has reached the Firm and Broker Dealer Monthly Fee Cap, an incremental service fee of \$0.01 per contract for Firm or Broker Dealer Manual transactions will apply, except for the execution of a QCC order, in which case there is no incremental service fee.

LIMIT OF FEES ON  
OPTIONS STRATEGY  
EXECUTIONS<sup>19</sup>

\$200 cap on transaction fees for Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, and (e) jelly rolls, and (f) dividends.<sup>10</sup> The cap applies to each Strategy Execution executed in standard option contracts on the same trading day. Any qualifying Strategy Execution executed as a QCC order will not be eligible for this fee cap, except that a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap. All Royalty fees associated with Strategy Executions on Index and Exchange Traded Funds will be passed through to trading participants on the Strategy Executions on a pro-rata basis. These Royalty fees will not be included in the calculation of the \$200 cap. Manual Broker Dealer and Firm Proprietary Strategy trades that do not reach the \$200 cap will be billed at \$0.25 per contract.

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INDEX LICENSE SURCHARGE

MXEA, MXEF

\$0.20

The Index License Surcharge fees apply to all Non-Customer transactions.

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**FLOOR BROKER FIXED COST PREPAYMENT INCENTIVE PROGRAM (the “FB Prepay Program”)<sup>19</sup>**

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**NYSE Arca OPTIONS: GENERAL**

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7. Firm Facilitation and Broker Dealer facilitating a Customer – Manual applies to any transaction (except in MXEA and MXEF) involving a Firm proprietary trading account that has a customer of that same Firm on the contra side of the transaction, or a broker dealer facilitating a Customer order, where the broker dealer and the Customer both clear through the same clearing firm and the broker dealer clears in the customer range.

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18. A \$0.12 per contract surcharge will be applied to any electronic Non-Customer Complex Order that executes against a Customer Complex Order (the “Non-Customer Complex Surcharge”). Except in MXEA and MXEF, [T]he surcharge will also be applied to any Market Maker order on the Trading Floor that is a counterparty to a complex Manual trade executed by a Floor Broker, and the Floor Broker side of such trade will be eligible for a rebate of (\$0.20). For Participants in the FB Prepay Program, the rebate will apply in lieu of any rebates achieved via the Manual Billable Rebate Program described above.

19. The Firm and Broker Dealer Monthly Fee Cap, Limit of Fees on Options Strategy Executions, and FB Prepay Program are not applicable to transactions in MXEA and MXEF.