

Additions underlined
Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: February [6]11, 2026

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

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VII. Tier Rates - Round Lots and Odd Lots (Per Share Price \$1.00 or Above):

Unless noted otherwise, all tier calculations to determine fees and credits in a billing month are based on the ETP Holder's trading activity in the prior billing month.

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Retail Tiers						
Tier	Minimum Requirement of CADV			Rates for Retail Orders		
	Retail Orders with a time-in-force of Day that add and remove	Option Customer and Professional Customer Electronic Posting Volume of TCADV by OTP Holder or OTP Firm affiliated with the ETP Holder	Adding ADV	Credit for Retail Adding	Fee for Retail Removing with a Time-in-force of Day	
					First 170 million shares in the month or 0.055% of Dollar Plus Consolidated Volume, whichever is higher, up to 250 million shares	Above 170 million shares in the month or 0.055% of Dollar Plus Consolidated Volume, whichever is higher, up to 250 million shares
Retail Tier 1 ^{(c)(d)}	0.50% or 55 Million ADV		1.00%	(\$0.0038)	No Fee	\$0.0025
Retail Tier 2 ^(d)	0.35%			(\$0.0037)	No Fee	\$0.0025
Retail Tier 3 ^(d)	0.20%			(\$0.0036)	<u>\$0.0025^(e)</u> [No Fee]	\$0.0025
Retail Tier 4	0.10%			(\$0.0034)		
Retail Tier 5 ^(d)	0.15% or 0.075%	0.40%		(\$0.0035)	<u>\$0.0025^(e)</u> [No Fee]	\$0.0025

(c) ETP Holders that qualify for Retail Tier 1 are subject to the following rates in Tape C:

- (\$0.0035) for Adding displayed liquidity.
- \$0.0027 for Removing.
- Additional (\$0.0002) for Adding non-displayed liquidity.

(d) ETP Holders that qualify for Retail Tier 1, Retail Tier 2, Retail Tier 3 and Retail Tier 5 are subject to the following rates:

- No fee charged or credit paid for Retail Orders where each side of the executed order (1) shares the same MPID and (2) is a Retail Order.

(e) No Fee for ETP Holders registered as a Lead Market Maker or Market Maker in at least 200 Less Active ETPs in which it meets at least two Performance Metrics.
