

Additions underscored
Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of March 16, 2026

Section I. Options Transaction Fees and Credits

A.Rates for Options transactions. The following transaction fees apply to executions in Option contracts.

Participant	Penny/Non-Penny	Rate Per Contract For Electronic Transactions	Marketing Charges Per Contract for Electronic Transactions³	<u>Rate Per Contract</u> <u>MXEA, MXEF</u> <u>Manual</u> <u>Transactions</u>	Rate Per Contract <u>Other</u> Manual Transactions
Broker-Dealer ^{1,5,6,8}	Penny	\$0.50	N/A	<u>\$0.25</u>	\$0.25
	Non-Penny	\$0.85	N/A	<u>\$0.25</u>	\$0.25
Customer	Penny	\$0.00	N/A	<u>\$0.25</u>	\$0.00
	Non-Penny	\$0.00	N/A	<u>\$0.25</u>	\$0.00
DOMM ^{1,2,3,5,6}	Penny	\$0.25	\$0.25	<u>N/A</u>	N/A
	Non-Penny	\$0.25	\$0.70	<u>N/A</u>	N/A
e-Specialist ^{1,2,3,5,6}	Penny	\$0.25	\$0.25	<u>\$0.45</u>	\$0.50
	Non-Penny	\$0.25	\$0.70	<u>\$0.45</u>	\$0.50
Firm ^{1,4,5,6,8}	Penny	\$0.49	N/A	<u>\$0.25</u>	\$0.25
	Non-Penny	\$0.85	N/A	<u>\$0.25</u>	\$0.25
Firm Facilitation ¹	Penny	N/A	N/A	<u>N/A</u>	\$0.00

	Non-Penny	N/A	N/A	<u>N/A</u>	\$0.00
NYSE American Options Market Maker ^{1,2,3,5,6}	Penny	\$0.25	\$0.25	<u>\$0.45</u>	\$0.50
	Non-Penny	\$0.25	\$0.70	<u>\$0.45</u>	\$0.50
Non-NYSE American Options Market Maker ^{1,2,5,6,8}	Penny	\$0.50	N/A	<u>\$0.25</u>	\$0.25
	Non-Penny	\$0.85	N/A	<u>\$0.25</u>	\$0.25
Professional Customer ^{1,5,8}	Penny	\$0.50	N/A	<u>\$0.25</u>	\$0.25
	Non-Penny	\$0.85	N/A	<u>\$0.25</u>	\$0.25
Specialist ^{1,2,3,5,6}	Penny	\$0.25	\$0.25	<u>\$0.45</u>	\$0.50
	Non-Penny	\$0.25	\$0.70	<u>\$0.45</u>	\$0.50

¹Royalty Fees described in Section I.K., may also apply.

²NYSE American Options Market Makers may qualify for lower rates for Electronic transactions pursuant to the Market Maker Sliding Scale in section I. C.

³NYSE American Options Market Makers who are counterparties to an Electronic trade with a Customer are liable for Marketing Charges, except as provided in Section I.M and in MXEA and MXEF. The pool of monies resulting from the collection of Marketing Charges on Electronic non-Directed Orders will be controlled by the Specialist or the e-Specialist with superior volume performance over the previous quarter, unless otherwise designated by the ATP Holder that submits an Electronic non-Directed Order as described below, for distribution by the Exchange at the direction of such Specialist or e-Specialist to eligible payment accepting firms. An ATP Holder that submits an Electronic non-Directed Order to the Exchange may designate an NYSE American Options Market Maker to control to pool of monies resulting from the collection of Marketing Charges, which shall be distributed by the Exchange at the direction of such NYSE American Options Market Maker to payment accepting firms. The pool of monies resulting from collection of Marketing Charges on Electronic Directed Orders will be controlled by the NYSE American Options Market Maker to which the order was directed and distributed by the Exchange at the direction of such NYSE American Options Market Maker to payment accepting firms.

⁴Firms are subject to a Monthly Firm Fee Cap of \$250,000 for fees associated with Manual transactions as more fully described below in Section I. I.

⁵A \$0.12 per contract surcharge will be applied to any Non-Customer order that is not a Simple Order that executes against a Customer order that is not a Simple Order (the “Non-Customer Complex Surcharge”), regardless of whether the execution occurs in a Complex Order Auction (“COA”). The surcharge will not apply to executions in CUBE Auctions. The

Exchange will reduce this per contract surcharge for Electronic executions to \$0.10 for ATP Holders that achieve at least 0.20% of TCADV of Electronic Non-Customer Complex Orders in a month. For purposes of the Non-Customer Complex Surcharge with respect to Manual executions, interest from the Trading Crowd is considered “Non-Customer[.]”and transactions in MXEA and MXEF are not subject to the surcharge.

⁶[Reserved]The Index License Surcharge as described in Section I.N., may also apply.

⁷Reserved.

⁸ATP Holders that achieve Tier 3 or higher in the American Customer Engagement Program (outlined in Section I.E.) will qualify for a Non-Penny Rate of \$0.80 per contract for Electronic transactions in the Professional range (as defined in Section I.H.).

I.Firm Monthly Fee Cap. The Monthly Firm Fee Cap for Manual transactions (including QCC transactions) will aggregate the fees associated with Firm Manual transactions and cap them at \$250,000 per month per Firm. Once a Firm has reached the Firm Monthly Fee Cap, an incremental service fee of \$0.02 per contract for Firm Manual transactions will apply, including for the execution of a QCC order. Any fee or volume associated with a Strategy Execution described in Section I.J., (e.g., reversal and conversion, box spread, short stock interest spread, merger spread and jelly roll) will not be counted toward the \$250,000 cap. Royalty Fees will continue to be charged at the rates described in Section I. K., and do not count toward the \$250,000 fee cap. The Firm Monthly Fee Cap is not applicable to transactions in MXEA and MXEF.

J.Strategy Execution Fee Cap. There is a \$1,000 cap on transaction fees for options Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, (e) jelly rolls, and (f) dividends, which are described below. The cap applies to all Strategy Executions on the same trading day. All Royalty Fees, described in Section I.K., associated with Strategy Executions on Index and ETFs will be passed through to trading participants on the Strategy Executions on a pro-rata basis and will not be included in the calculation of the \$1,000 per trade cap. Manual Broker-Dealer and Firm Strategy trades that do not reach the \$1,000 cap will be billed the rate specified in Section 1.A. for Manual transactions. Any qualifying Strategy Execution executed as a QCC order will not be eligible for this fee cap, except that a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap. The Strategy Execution Fee Cap is not applicable to transactions in MXEA and MXEF.

However, the cap is reduced to \$200 on transactions fees for qualifying strategies traded on the same trading day for those ATP Holders that trade at least 25,000 monthly billable contract sides in qualifying Strategy Executions.

- a.Reversals and Conversions. A “reversal” is established by combining a short security position with a short put and a long call position that shares the same strike and expiration. A “conversion” is established by combining a long position in the underlying security with a long put and a short call position that shares the same strike and expiration.
- b.Box spread. A “box spread” is defined as transactions involving a long call option and a short put option at one strike, combined with a short call option and long put at a different strike, to create synthetic long and synthetic short stock positions, respectively.
- c.Short stock interest spread. A “short stock interest spread” is defined as transactions done to achieve a short stock interest arbitrage involving the purchase, sale and exercise of in-the–money options of the same class.
- d.Merger spread. A “merger spread” is defined as transactions done to achieve a merger arbitrage involving the purchase, sale and exercise of options of the same class and expiration date, each executed prior to the date on which shareholders of record are required to elect their respective form of consideration, i.e., cash or stock.
- e.Jelly rolls. A “jelly roll” is created by entering into two separate positions simultaneously. One position involves buying a put and selling a call with the same strike price and expiration. The second position involves selling a put and buying a call, with the same strike price, but with a different expiration from the first position.
- f. Dividend. A “dividend” is defined as transactions done to achieve a dividend arbitrage involving the purchase, sale and exercise of in-the-money options of the same class, executed the first business day prior to the date on which the underlying stock goes ex-dividend.

M.BOLD Mechanism Fees & Credits

N.Index License Surcharge. Index License Surcharge applies to all participants other than Customers and Professional Customers as outlined below for both Electronic and Manual transactions.

MSCI EAFE Index Options (MXEA), MSCI Emerging Markets Index Options (MXEF) - \$0.20 per contract

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

E. Floor Broker Incentive and Rebate Programs

1. Floor Broker Fixed Cost Prepayment Incentive Program (the “FB Prepay Program”)

The FB Prepay Program affords each Floor Broker organization the opportunity to prepay its annual “Eligible Fixed Costs” (set forth in the table below) for the following calendar year.

ELIGIBLE FIXED COSTS
Section III.A. Monthly ATP Fees
Section III.B. Floor Access Fee
Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees as listed below: Transport Charges Booth Premises Telephone Service Cellular Phones Booth Telephone System - Line Charge Booth Telephone System - Single line phone jack and data jack Wire Services

Participants in the FB Prepay Program qualify for rebates by achieving billable manual volume of certain amounts (the “Manual Billable Rebate Program”). The calculation of volume on which rebates earned through the Manual Billable Rebate Program would be paid is based on transactions including at least one side for which manual transaction fees are applicable and unless otherwise indicated excludes QCCs. Any volume calculated to achieve the Strategy Execution Fee Cap, regardless of whether the cap is achieved, will likewise be excluded from the Manual Billable Rebate Program because fees on such volume are already capped and therefore such volume does not increase billable manual volume. A Manual trade executed by a Floor Broker against a Market Maker on the Trading Floor will be eligible for a rebate of (\$0.20) in lieu of any rebates achieved via the Manual Billable Rebate Program. Transactions in MXEA and MXEF are not eligible for rebates achieved via the FB Prepay Program (more specifically, the Manual Billable Rebate Program), and such volume does not increase billable manual volume.
