

EXHIBIT 5

Additions underlined
 Deletions [bracketed]

**New York Stock Exchange
 Price List [2025]2026**

Last Updated: [December 5, 2025] January 2, 2026

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Registration & Regulatory Fees

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Other

New Firm Fee – per application (not applicable in 2025 for New Firms applying only for membership to obtain a Bond Trading License; provided, however, that if a new firm that is approved as a member organization converts a Bond Trading License to a full trading license within one year of approval, the New Firm Fee will be charged retroactively)

Carrying Firms	\$4,000
Introducing Firms	\$4,000
Non-Public Organizations	\$2,000

Continuing Education [Program] <u>Regulatory Element</u> for Qualified Floor Members	<u>\$25</u>
[Fee per Bi-Annual Session	\$150.00]
Testing Fees - Please call 212.656.2578 for information.	

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CRD Fees for Member Organizations that are not FINRA Members

Each initial Form U4 filed for the registration of a representative or principal	\$125
Additional processing of each initial or amended Form U4, Form U5	\$155

or Form BD that includes the initial reporting, amendment, or certification of one or more disclosure events or proceedings	
Processing and posting to the CRD system each set of fingerprints submitted electronically to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of fingerprints	\$20
Processing and posting to the CRD system each set of fingerprint cards submitted in non-electronic format to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of fingerprints	\$30
Processing and posting to the CRD system each set of fingerprint results and identifying information that have been processed through another self-regulatory organization and submitted to FINRA	\$30
System processing for each registered representative and principal <u>(annually, based on the number of securities regulators with which each such registered person is registered, excluding registration as an investment adviser representative)</u>	[\$70 annually] <u>1-5: \$70</u> <u>6-20: \$95</u> <u>21-40: \$110</u> <u>41+: \$125</u>

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