

**Bold and underlined text** indicates proposed added language.

**~~Bold and strikethrough text~~** indicates proposed deleted language.

# **NATIONAL SECURITIES CLEARING CORPORATION RULES & PROCEDURES**

NATIONAL SECURITIES CLEARING CORPORATION RULES

RULE 49. RELEASE OF CLEARING DATA AND CLEARING FUND DATA

**[Changes to this Rule, as amended by File No. SR-NSCC-2026-004, are available at [www.dtcc.com/legal/sec-rule-filings](http://www.dtcc.com/legal/sec-rule-filings). These changes have been approved by the SEC but have not yet been implemented. On June 12, 2026, these changes will be implemented, and this legend will be automatically removed.]**

(a) Absent valid legal process or as provided in paragraph (b) hereof, the Corporation will only release Clearing Data relating to transactions of a particular participant and Clearing Fund Data to such participant upon his written request; however, **the Corporation may also release the Clearing Data of a participant to another participant in the following circumstances: (i) if the participant is a Sponsored Member, the Corporation will also release Clearing Data relating to transactions of such participant to such participant's Sponsoring Member upon the Sponsoring Member's written request; and (ii) if the participant has authorized the Corporation to release certain Clearing Data to another participant with which it has a clearing relationship, as provided for in Addendum M.**

\* \* \*

ADDENDUM M

~~(ADDENDUM LETTER RESERVED FOR FUTURE USE)~~  
**MPID TRANSACTION REPORTING**

**[Changes to this Addendum, as amended by File No. SR-NSCC-2026-004, are available at [www.dtcc.com/legal/sec-rule-filings](http://www.dtcc.com/legal/sec-rule-filings). These changes have been approved by the SEC but have not yet been implemented. On June 12, 2026, these changes will be implemented, and this legend will be automatically removed.]**

**A Member ("Disclosing Member") may authorize the Corporation to disclose certain Clearing Data to another Member ("Receiving Member") on an ongoing basis if (1) the Disclosing Member and the Receiving Member have a clearing relationship, as identified by the Receiving Member's Market Participant Identifier ("MPID"); (2) the disclosed Clearing Data relates only to transactions processed through the Corporation by the Disclosing Member on behalf of the Receiving Member, as designated by the Receiving Member's MPID; and (3) the Disclosing Member and Receiving Member have executed and delivered to the Corporation such forms or authorization agreements as may be required by the Corporation.**