

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

SUZEE HAN,

Defendant.

23 Civ. 1424 (ENV) (RER)

**FINAL JUDGMENT AS TO DEFENDANT SUZEE HAN**

The Securities and Exchange Commission having filed a Complaint and Defendant Suzee Han (“Han” or “Defendant”) having entered a general appearance and consented to the Court’s jurisdiction over Defendant and the subject matter of this action; Defendant having consented to a Judgment imposing injunctive relief entered by the Court on March 14, 2023; Defendant having consented to entry of this Final Judgment, waived findings of fact and conclusions of law, and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

(a) to employ any device, scheme, or artifice to defraud;

- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in **Federal Rule of Civil Procedure 65(d)(2)**, the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

## II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 (the "Securities Act") [**15 U.S.C. § 77q(a)**] in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- (c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in **Federal Rule of Civil Procedure 65(d)(2)**, the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

V.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: 3/18/2026

/s/ Eric N. Vitaliano

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UNITED STATES DISTRICT JUDGE

Reuven L. Cohen  
Tel: 213-232-5163  
rcohen@cohen-williams.com

**COHEN WILLIAMS** LLP

Michael V Schafler  
Tel: 213-232-5146  
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February 26, 2026

VIA EMAIL

Hayden M. Brockett  
Trial Attorney  
United States Securities and Exchange Commission  
New York Regional Office  
100 Pearl St. #20-100  
New York, NY 10004

**Re: *Securities and Exchange Commission v. Suzee Han*, Case No. 23 Civ. 1424 (ENV)  
(RER)**

Dear Hayden:

We are writing to you, on behalf of our client Suzee Han, to confirm that Ms. Han consents to the entry of a final judgment in this matter following the consent to the entry of judgment that was submitted and entered by the Court in March 2023. *See* ECF 15-3 and 17.

If you would like to discuss the matter further, please let us know. Thank you.

Sincerely,

COHEN WILLIAMS LLP



Reuven L. Cohen  
Michael V Schafler  
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