

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21973**

**In the Matter of**

**NICOLE J. WALKER,**

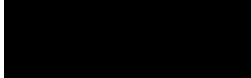

**Respondent.**

**JOINT STATUS REPORT**

In accordance with the Order Directing Status Reports dated June 13, 2025, the Division of Enforcement (the “Division”) and Respondent Nicole J. Walker (“Respondent”) submit this status report. Since the parties’ last status report filed on September 4, 2025, the parties have continued to discuss a potential resolution and have made progress toward that end. While a settlement has not been reached at this time, the Division has provided proposed settlement documents to Respondent and settlement discussions are ongoing.

September 29, 2025

Respectfully submitted,

<p><b>STEPHANIE MOOT</b></p> <p>Christine Nestor, Esq. Senior Trial Counsel Direct Line: (305) 982- 6367 <a href="mailto:nestorc@sec.gov">nestorc@sec.gov</a> Stephanie N. Moot, Esq. Senior Trial Counsel Direct Line: (305) 982-6313 <a href="mailto:moots@sec.gov">moots@sec.gov</a></p>	<p>Digitally signed by STEPHANIE MOOT Date: 2025.09.29 15:19:22 -04'00'</p> <p> Nicole J. Walker Respondent, pro se </p>
---	--

<p>Counsel for Division of Enforcement Miami Regional Office Securities and Exchange Commission 801 Brickell Avenue, Suite 1950 Miami, FL 33131 Phone: (305) 982-6300 Fax: (703) 813-9526</p>	
---	--