

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21973

In the Matter of

NICOLE J. WALKER,

Respondent.

JOINT STATUS REPORT AND REQUEST TO CONTINUE STAY

In accordance with the Order Granting Motion to Stay dated December 4, 2025, the Division of Enforcement (“Division”) and Respondent Nicole J. Walker (“Respondent”) submit this joint status report and request a continuance of the stay of this proceeding:

1. On November 24, 2025, the parties filed a joint motion to stay these proceedings pending the Commission’s consideration of Respondent’s settlement offer.
2. On December 4, 2025, the Commission granted the parties’ motion to stay and noted that the stay is contingent on “the submission to the Commission of Walker’s signed offer of settlement and the Division of Enforcement’s accompanying recommendation no later than January 2, 2026.”
3. The Division staff is in the process of obtaining the necessary reviews and approvals in order to present its recommendation along with Respondent’s offer to the Commission for consideration. The Division staff anticipates that the Division will be in a position to submit its recommendation and Respondent’s offer to the Commission within the next 60 days.

4. Accordingly, the parties seek to continue the stay of this proceeding for an additional 60 days.

January 7, 2026

Respectfully submitted,

<p>[REDACTED]</p> <p>Christine Nestor, Esq. Senior Trial Counsel Direct Line: (305) 982- 6367 nestorc@sec.gov</p> <p>Counsel for Division of Enforcement Miami Regional Office Securities and Exchange Commission 801 Brickell Avenue, Suite 1950 Miami, FL 33131 Phone: (305) 982-6300 Fax: (703) 813-9526</p>	<p>[REDACTED]</p> <p>Nicole J. Walker Respondent, pro se</p> <p>[REDACTED]</p>
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