

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-21973

In the Matter of

NICOLE J. WALKER,

Respondent.

JOINT STATUS REPORT

In accordance with the Order Directing Status Reports dated June 13, 2025, the Division of Enforcement (the “Division”) and Respondent Nicole J. Walker (“Respondent”) submit this status report. Since the parties’ last status report filed on August 7, 2025, the parties have continued to discuss a potential resolution and have made progress toward that end. A settlement has not been reached at this time; however, the parties have shared information necessary to better assess whether a potential resolution is feasible.

September 3, 2025

Respectfully submitted,

<div data-bbox="191 1499 711 1583" style="background-color:black; height:40px; width:100%;"></div> <p>Christine Nestor, Esq. Senior Trial Counsel Direct Line: (305) 982- 6367 nestorc@sec.gov Stephanie N. Moot, Esq. Senior Trial Counsel Direct Line: (305) 982-6313 moots@sec.gov</p>	<div data-bbox="813 1507 1110 1591" style="background-color:black; height:40px; width:100%;"></div> <p>Nicole J. Walker Respondent, pro se</p> <div data-bbox="821 1654 1198 1703" style="background-color:black; height:23px; width:100%;"></div>
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