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Subject: Activity in Case 3:23-cv-00125-OAW United States Securities and Exchange Commission v. Lee et al Order on Motion for Order
Date: Friday, February 28, 2025 1:51:24 PM

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U.S. District Court

District of Connecticut

Notice of Electronic Filing

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Case Name: United States Securities and Exchange Commission v. Lee et al

Case Number: [3:23-cv-00125-OAW](#)

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WARNING: CASE CLOSED on 09/27/2024

Document Number: 114(No document attached)

Docket Text:

ORDER. Plaintiff United States Securities and Exchange Commission ("the Commission" or "SEC") moves (1) to appoint Rust Consulting, Inc. ("Rust") as distribution agent ("Distribution Agent") of the Distribution Fund, and (2) to authorize the Commission to approve and arrange for the payment of fees and expenses of the Distribution Agent from the Distribution Fund without further court approval.

The court having reviewed the Commission's unopposed motion, and for good cause shown, hereby orders:

- 1) The Commission's motion, ECF No. [113], is GRANTED.
- 2) Rust Consulting, Inc. is appointed Distribution Agent for the Distribution Fund to assist in overseeing the development and administration of the distribution plan (the "Plan") to be approved by this court. Rust shall coordinate with the court-appointed Tax Administrator, Miller Kaplan Arase LLP ("Miller Kaplan"), to ensure that the Distribution Fund, a Qualified Settlement Fund ("QSF") under Section 468B (g) of the Internal Revenue Code, and related regulations, 26 C.F.R. §§ 1.468B-1 through 5, complies with all related legal

regulatory requirements, including but not limited to, satisfying any reporting or withholding requirements imposed on distributions from the QSF. Rust may be removed sua sponte at any time by the court or upon motion of the Commission and replaced with a successor. In the event Rust decides to resign, it will first give written notice to the court and to the Commission's counsel of such intention, and the resignation, if permitted, will not be effective until the court appoints a successor.

3) The Commission is authorized to approve and arrange payment of all fees and expenses of the Distribution Agent directly from the Distribution Fund without further order of this court. All payment of the fees and expenses of the Distribution Agent shall be reported to the court in a separate filing which reflects the final accounting. Signed by Judge Omar A. Williams on 02/28/2025. (Sullivan, M)

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