

MEMORANDUM

January 25, 2026

TO: File No. S7-2026-01

FROM: Jennifer Trabert Campos
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Garcia Hamilton & Associates, L.P.

On January 23, 2026, Commissioner Mark T. Uyeda and his Confidential Assistant, Jennifer Trabert Campos, had a meeting with Garcia Hamilton & Associates, L.P. Commissioner Uyeda and the attendee met virtually. The Garcia Hamilton & Associates, L.P. representative in attendance consisted of:

- Gilbert Garcia, Managing Partner, Chief Investment Officer

Participants discussed the proposed amendments to the “Small Business” and “Small Organization” Definitions for Investment Companies and Investment Advisers for Purpose of the Regulatory Flexibility Act.