

## MEMORANDUM

TO: Proposed Rule: Enhanced Reporting of Proxy Votes by Registered Management Investment Companies; Reporting of Executive Compensation Votes by Institutional Investment Managers (Release No. 34-93169; IC-34389; File No. S7-11-21)

FROM: Bradley Gude, Senior Counsel, Division of Investment Management

RE: Meeting with Representatives of J.P. Morgan

DATE: May 10, 2022

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On May 10, 2022, staff of the SEC held a videoconference with representatives of State Street. Participants included: Sarah ten Siethoff (Deputy Director, Division of Investment Management (“IM”)), Brian McLaughlin Johnson (Assistant Director, IM), Angela Mokodean (Branch Chief, IM), David Driscoll (Senior Counsel, IM), Bradley Gude (Senior Counsel, IM) Nathan Schuur (Senior Counsel, IM), Alexander Schiller (Assistant Director, Division of Economic and Risk Analysis (“DERA”)), Andrew Z. Glickman (Senior Special Counsel, DERA) and Hanna Lee (Financial Economist, DERA), as well as the following representatives of J.P. Morgan:

- Michael M. Mykytiw;
- Amit D’cruz;
- George Rennick; and
- Rebecca A. Goldenberg.

The participants discussed, among other things, the SEC’s proposal titled “Enhanced Reporting of Proxy Votes by Registered Management Investment Companies; Reporting of Executive Compensation Votes by Institutional Investment Managers,” set forth in Investment Company Act Release No. 34389 (Sep. 29, 2021).