

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION  AMENDMENT

1. State the name of the applicant: The Nasdaq Stock Market LLC

2. Provide the applicant's primary street address (Do not use a P.O. Box):  
151 W. 42 Street, New York NY 10036

3. Provide the applicant's mailing address (if different):  
Office of General Counsel  
850 King Farm Blvd. Rockville, MD 20850



25004915

4. Provide the applicant's business telephone and facsimile number:  
301.978.8400 25004915  
 (Telephone) (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:  
Sun Kim, Associate General Counsel, 1-646-420-7816  
 (Name) (Title) (Telephone Number)

6. Provide the name and address of counsel for the applicant:  
John A. Zecca  
805 King Farm Blvd.  
Rockville, MD 20850

7. Provide the date applicant's fiscal year ends: 12/31

8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 12/15/2005 (b) State/Country of formation: Delaware  
 (c) Statute under which applicant was organized: \_\_\_\_\_

**EXECUTION:** The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 10/13/2025 The Nasdaq Stock Market LLC  
 (MM/DD/YY) (Name of applicant)  
 By: *John A. Zecca* Date: 2025.10.13 13:05:00 -04'00' John A. Zecca, EVP and Chief Legal Officer  
 (Signature) (Printed Name and Title)

Subscribed and sworn before me this day \_\_\_\_\_ of \_\_\_\_\_, \_\_\_\_\_ by \_\_\_\_\_  
 (Month) (Year) (Notary Public)

My Commission expires \_\_\_\_\_ County of \_\_\_\_\_ State of \_\_\_\_\_

*Based upon relief from the Commission staff and difficulties arising from Covid-19,  
 The Nasdaq Stock Market LLC is making this filing without notarization*

October 9, 2025

**Via Electronic Filing**

U.S. Securities and Exchange Commission  
Division of Trading and Markets  
Office of Market Supervision

**Re: The Nasdaq Stock Market LLC (“Exchange”) Filing Pursuant Rule 6a-2(a)**

Dear Sir or Madam:

Pursuant to Rule 6a-2(a), the Exchange is hereby filing the latest changes to its Form 1 Exhibits C and M.

The changes to Exhibit C are as follows:

- Nasdaq Clearing AB – this entity has a new CEO, Christian Sjöberg, who replaced Patrik Löhr
- Nasdaq Technology AB – Daniela Peterhoff replaced Niklas Brandström on the Board, and Paul McKeon was removed as special signatory for the entity

The change to Exhibit M is the acceptance on September 2, 2025, of the following participant:

TCBI SECURITIES, INC.  
2000 McKinney Avenue, Suite 700, Dallas, TX 75201  
214-932-6671  
Type: Market Maker/Order Entry

If you have any questions, please do not hesitate to contact Sun Kim, Senior Associate General Counsel at (646) 420-7816 or [Sun.Kim@nasdaq.com](mailto:Sun.Kim@nasdaq.com).

Sincerely,

/s/ Sun Kim

Sun Kim  
Senior Associate General Counsel