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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company**

3. Class of New Derivative Securities Product:

**Exchange Traded Fund Shares under Nasdaq Rule 5704**



4. Name of Underlying Instrument:

**See Attachment A**

20014912

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**See Attachment A**

6. Ticker Symbol(s) of New Derivative Securities Product:

**See Attachment A**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**See Attachment A**

8. Settlement Methodology of New Derivatives Product:

**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A**

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**William Slattery**

Title:

**Vice President**

Telephone Number:

**1-301-978-8088**

Manual Signature of Official Responsible for Form:

*William Slattery*

Date: **August 24, 2020**

Attachment A

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
MSCI AC Daily TR Net Asia Ex Japan USD	Broad-Based	AAXJ	TAIPEI EXCHANGE, STOCK EXCHANGE OF THAILAND, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, PAKISTAN STOCK EXCHANGE, BURSA MALAYSIA, KOSDAQ, KOREA EXCHANGE (STOCK MARKET), NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, PHILIPPINE STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SINGAPORE EXCHANGE, SHANGHAI STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT), TAIWAN STOCK EXCHANGE
MSCI AC World Daily TR Net USD	Broad-Based	ACWI	CBOE EUROPE, CBOE BZX, BM&FBOVESPA S.A., NASDAQ DUBAI, QATAR EXCHANGE, MOSCOW EXCHANGE, ELECTRONIC SHARE MARKET, TAIPEI EXCHANGE, ABU DHABI SECURITIES EXCHANGE, EURONEXT AMSTERDAM, NYSE MKT LLC, ASX, ATHENS EXCHANGE S.A., STOCK EXCHANGE OF THAILAND, BOLSA DE VALORES DE COLOMBIA, EURONEXT BRUSSELS, BUDAPEST STOCK EXCHANGE, EGYPTIAN

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			<p>EXCHANGE, NASDAQ COPENHAGEN A/S, DUBAI FINANCIAL MARKET, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, BORSA ISTANBUL, TOKYO STOCK EXCHANGE JASDAQ, JOHANNESBURG STOCK EXCHANGE, PAKISTAN STOCK EXCHANGE, BURSA MALAYSIA, KOSDAQ, KOREA EXCHANGE (STOCK MARKET), EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, BOLSA MEXICANA DE VALORES, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, NEW ZEALAND EXCHANGE, OSLO BORS ASA, EURONEXT PARIS, PHILIPPINE STOCK EXCHANGE, PRAGUE STOCK EXCHANGE, SAUDI STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SINGAPORE EXCHANGE, SANTIAGO STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT), NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE</p>

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			CHIPS SEGMENT, WARSAW STOCK EXCHANGE, WIENER BOERSE AG
MSCI AC World Daily TR Net Ex USA USD	Broad-Based	ACWX	CBOE EUROPE, BM&FBOVESPA S.A., QATAR EXCHANGE, NASDAQ DUBAI, MOSCOW EXCHANGE, ELECTRONIC SHARE MARKET, TAIPEI EXCHANGE, ABU DHABI SECURITIES EXCHANGE, EURONEXT AMSTERDAM, ASX, ATHENS EXCHANGE S.A., STOCK EXCHANGE OF THAILAND, BOLSA DE VALORES DE COLOMBIA, EURONEXT BRUSSELS, BUDAPEST STOCK EXCHANGE, EGYPTIAN EXCHANGE, NASDAQ COPENHAGEN A/S, DUBAI FINANCIAL MARKET, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, BORSA ISTANBUL, TOKYO STOCK EXCHANGE JASDAQ, JOHANNESBURG STOCK EXCHANGE, PAKISTAN STOCK EXCHANGE, BURSA MALAYSIA, KOSDAQ, KOREA EXCHANGE (STOCK MARKET), EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, BOLSA MEXICANA DE VALORES, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, PHILIPPINE STOCK EXCHANGE, PRAGUE STOCK EXCHANGE, SAUDI STOCK

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SINGAPORE EXCHANGE, SANTIAGO STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT), NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WARSAW STOCK EXCHANGE, WIENER BOERSE AG, XETRA
S&P Asia 50 Index	Broad-Based	AIA	HONG KONG EXCHANGE, KOREA EXCHANGE (STOCK MARKET), SINGAPORE EXCHANGE, TAIWAN STOCK EXCHANGE
Russell 1000 Pure Domestic Exposure Index	Broad-Based	AMCA	CBOE BZX, NASDAQ, NYSE
Bloomberg Barclays MSCI Global Green Bond Select (USD Hedged) Index	Broad-Based	BGRN	AB NASDAQ VILNIUS, AIAF MARKET, ASX - ALL MARKETS, BERLIN STOCK EXCHANGE, BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EUREX DEUTSCHLAND, EURO TLX, EUROMTF, EURONEXT AMSTERDAM, EURONEXT BRUSSELS, EURONEXT PARIS, FRANKFURT STOCK EXCHANGE, GERMAN COMPOSITE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INDIA INTERNATIONAL EXCHANGE (IFSC) LIMITED,

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MARKETAXESS, MTS BELGIUM, MTS BONDVISION, MTS FRANCE, MTS IRELAND, MTS S.P.A., MUNICH STOCK EXCHANGE, NASDAQ HELSINKI LTD, NASDAQ OMX EUROPE (NEURO), NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, NYSE BONDMATCH, OSLO STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
MSCI EAFE Choice ESG Screened Net Index in USD	Broad-Based	DMXF	BATS EUROPE, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX - ALL MARKETS, BRUSSELS, OMX NORDIC EXCHANGE COPENHAGEN A/S, DUBLIN, XETRA, OMX NORDIC EXCHANGE HELSINKI OY, HONG KONG, EURONEXT LISBON, LONDON, MADRID, NASDAQ, NEW YORK, NEW ZEALAND, OSLO BORS ASA, PARIS, SINGAPORE EXCHANGE, OMX NORDIC EXCHANGE STOCKHOLM AB, SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, SIX SWISS EXCHANGE, WIENER BOERSE AG
Dow Jones U.S. Select Dividend Index	Broad-Based	DVY	NASDAQ

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
J.P. Morgan EMBI Global Core Index	Broad-Based	EMB	ALL GERMAN SE, BERLIN, DUSSELDORF, EUROMTF, EUROTIX, FRANKFURT, LONDON, MERVAL, MUNICH, SGX-ST, SINGAPORE, SINGAPORE MAINBD, SIX, STUTTGART, TRACE
S&P Emerging Markets Infrastructure Index	Broad-Based	EMIF	BM&FBOVESPA S.A., MOSCOW EXCHANGE, STOCK EXCHANGE OF THAILAND, HONG KONG EXCHANGE, BURSA MALAYSIA, NASDAQ, NYSE
MSCI New Zealand IMI 25/50 Index	Broad-Based	ENZL	NEW ZEALAND EXCHANGE LTD,
MSCI EAFE Extended ESG Focus Index	Broad-Based	ESGD	CBOE EUROPE, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS, NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NASDAQ, NYSE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, SINGAPORE EXCHANGE, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WIENER BOERSE AG
MSCI USA Extended ESG Focus Index	Broad-Based	ESGU	NYSE AMERICAN, NASDAQ, NYSE
MSCI Europe Financials Index	Broad-Based	EUFN	ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, EURONEXT BRUSSELS,

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, LONDON STOCK EXCHANGE, BOLSA DE MADRID, OSLO BORS ASA, EURONEXT PARIS, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WIENER BOERSE AG
MSCI Japan Equal Weighted Index	Broad-Based	EWJE	TOKYO STOCK EXCHANGE, JASDAQ
MSCI Japan Value Index	Broad-Based	EWJV	TOKYO STOCK EXCHANGE
MSCI Brazil Small Cap Index	Broad-Based	EWZS	BM&FBOVESPA S.A.
Bloomberg Barclays US High Yield Fallen Angel 3% Capped Index	Broad-Based	FALN	DUSSELDORF, LONDON, NEW YORK, OTC US, SGX-T, TRACE
Bloomberg Barclays U.S. GNMA Bond Index	Broad-Based	GNMA	OTC US
MSCI Germany Index	Broad-Based	HEWG	XETRA
Markit iBoxx USD Liquid High Yield ex-Oil and Gas Index	Broad-Based	HYXE	DUBLIN,DUSSELDORF, EUROMTF, EURONEXT-AMSTERDAM, LONDON, LUXEMBOURG, NEW YORK, OTC US, SGX-ST, TRACE
NASDAQ Biotechnology Total Return Index	Broad-Based	IBB	NASDAQ
ICE 2021 Maturity US Treasury Index	Broad-Based	IBTA	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF,SWISS
ICE 2022 Maturity US Treasury Index	Broad-Based	IBTB	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF,SWISS
ICE 2023 Maturity US Treasury Index	Broad-Based	IBTD	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF,SWISS

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
ICE 2024 Maturity US Treasury Index	Broad-Based	IBTE	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2025 Maturity US Treasury Index	Broad-Based	IBTF	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2026 Maturity US Treasury Index	Broad-Based	IBTG	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2027 Maturity US Treasury Index	Broad-Based	IBTH	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2028 Maturity US Treasury Index	Broad-Based	IBTI	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2029 Maturity US Treasury Index	Broad-Based	IBTJ	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
ICE 2030 Maturity US Treasury Index	Broad-Based	IBTK	NEW YORK, BERLIN, FRANKFURT, MUNICH, STUTTGART, DUESSELDORF, SWISS
S&P Global Clean Energy Net TR	Broad-Based	ICLN	ELECTRONIC SHARE MARKET, NASDAQ COPENHAGEN A/S, XETRA, HONG KONG EXCHANGE, BOLSA DE MADRID, NASDAQ, NASDAQ, NYSE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, TEL AVIV STOCK EXCHANGE, TORONTO STOCK EXCHANGE, WIENER BOERSE AG
ICE U.S. Treasury 7-10 Year Bond Index	Broad-Based	IEF	NEW YORK, BERLIN, FRANKFURT
ICE U.S. Treasury 3-7 Year Bond Index	Broad-Based	IEI	NEW YORK, BERLIN, FRANKFURT
MSCI EUROPE SC NET	Broad-Based	IEUS	CBOE EUROPE, FIRST NORTH SWEDEN, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, EURONEXT BRUSSELS,

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, DEUTSCHE BOERSE AG, NASDAQ HELSINKI LTD, EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NORDIC GROWTH MARKET, NYSE, OSLO BORS ASA, EURONEXT PARIS, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WIENER BOERSE AG
FTSE EPRA NAREIT Europe Net Total Return Index USD	Broad-Based	IFEU	ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, EURONEXT BRUSSELS, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, LONDON STOCK EXCHANGE, BOLSA DE MADRID, OSLO BORS ASA, EURONEXT PARIS, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, WIENER BOERSE AG
FTSE EPRA/NAREIT Developed ex US Index Net Total Return Index	Broad-Based	IFGL	ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, SINGAPORE EXCHANGE, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			EXCHANGE, TORONTO STOCK EXCHANGE, WIENER BOERSE AG
S&P Global Infrastructure Index	Broad-Based	IGF	ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, NYSE MKT LLC, ASX, EURONEXT BRUSSELS, XETRA, HONG KONG EXCHANGE, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NASDAQ, NYSE, NEW ZEALAND EXCHANGE LTD, EURONEXT PARIS, SINGAPORE EXCHANGE, SIX SWISS EXCHANGE, TORONTO STOCK EXCHANGE
ICE BofA 5-10 Year US Corporate Index	Broad-Based	IGIB	BERLIN STOCK EXCHANGE, BORSA ITALIANA S.P.A. ,DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, THE CANADIAN SECURITIES EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
S&P International Sovereign Ex-U.S. Bond Index	Broad-Based	IGOV	AIAF MARKET, ASX - ALL MARKETS, BARCELONA STOCK EXCHANGE, BERLIN STOCK EXCHANGE, BILBAO STOCK EXCHANGE, BORSA ISTANBUL, BORSA ITALIANA S.P.A., DEUTSCHE TERMINBORSE, DUSSELDORF STOCK EXCHANGE, EUREX DEUTSCHLAND, EURO TLX, EURONEXT AMSTERDAM, EURONEXT BRUSSELS, EURONEXT LISBON, EURONEXT PARIS, FRANKFURT STOCK EXCHANGE, FUKUOKA STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, IBOXX, IRISH STOCK EXCHANGE, KOREA EXCHANGE - KSE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MADRID FIXED INCOME MARKET, MADRID STOCK EXCHANGE, MARKETAXESS, MERCADO DE DEUDA PUBLICA EN ANOTACIONES, MTS BELGIUM, MTS BONDVISION, MTS CASH DOMESTIC - MTF - AUSTRIA, MTS CASH DOMESTIC - MTF - EBM, MTS CASH DOMESTIC - MTF - IRELAND, MTS CASH DOMESTIC - MTF - ISRAEL, MTS CASH DOMESTIC - MTF - PORTUGAL, MTS CASH DOMESTIC - MTF - SPAIN, MTS DENMARK, MTS FINLAND, MTS FRANCE, MTS ITALIA, MTS ITALY - LISTINO, MUNICH STOCK EXCHANGE, NAGOYA STOCK

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			EXCHANGE, NASDAQ COPENHAGEN A/S, NASDAQ HELSINKI LTD, NASDAQ OMX PHLX, NASDAQ STOCKHOLM AB, OMX NORDIC EXCHANGE STOCKHOLM AB - PMI, OSAKA STOCK EXCHANGE, OSLO STOCK EXCHANGE, OVER-THE-COUNTER, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TRADEGATE EXCHANGE, VALENCIA STOCK EXCHANGE, WIENER BOERSE AG
ICE BofA 1-5 Year US Corporate Index	Broad-Based	IGSB	ASX - ALL MARKETS, BERLIN STOCK EXCHANGE, BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, EURONEXT AMSTERDAM, EURONEXT PARIS, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, KAZAKHSTAN STOCK EXCHANGE JSC, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, THE CANADIAN SECURITIES EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG, EURONEXT, HI-MTF SIM SPA, LUZERNE STOCK EXCHANGE, INDIA INTERNATIONAL EXCHANGE (IFSC) LIMITED
S&P SmallCap 600 Growth Index	Broad-Based	IJT	NYSE AMERICAN, NASDAQ, NYSE
India National Stock Exchange CNX Nifty Index Total Returns USD	Broad-Based	INDY	NATIONAL STOCK EXCHANGE OF INDIA
S&P International Sovereign Ex-U.S. 1-3 Year Bond Index	Broad-Based	ISHG	AIAF MARKET, BERLIN STOCK EXCHANGE, BORSA ITALIANA S.P.A., DEUTSCHE TERMINBORSE, DUSSELDORF STOCK EXCHANGE, EUREX DEUTSCHLAND, EURO TLX, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, IRISH STOCK EXCHANGE, KOREA EXCHANGE - KSE, LONDON STOCK EXCHANGE PLC, MADRID FIXED INCOME MARKET, MARKETAXESS, MTS BONDIVISION, MTS FRANCE, MUNICH STOCK EXCHANGE, NASDAQ COPENHAGEN A/S, NASDAQ OMX PHLX, OSAKA STOCK EXCHANGE, OVER-THE-COUNTER, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TRADEGATE EXCHANGE, German

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			Composite, WIENER BOERSE AG, MTS CASH DOMESTIC - MTF - SPAIN, IBOXX, MTS CASH DOMESTIC - MTF - EBM, NASDAQ STOCKHOLM AB, EURONEXT AMSTERDAM, MERCADO DE DEUDA PUBLICA EN ANOTACIONES, NAGOYA STOCK EXCHANGE, MTS CASH DOMESTIC - MTF - ISRAEL, MTS CASH DOMESTIC - MTF - AUSTRIA, EURONEXT PARIS, OSLO STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, BORSA ISTANBUL, ASX - ALL MARKETS, MTS DENMARK, MTS CASH DOMESTIC - MTF - IRELAND, EURONEXT LISBON, NASDAQ HELSINKI LTD, OMX NORDIC EXCHANGE STOCKHOLM AB - PMI, EURONEXT BRUSSELS, MTS BELGIUM, MTS CASH DOMESTIC - MTF - PORTUGAL
Bloomberg Barclays U.S. Universal 1-5 Year Index	Broad-Based	ISTB	ASX - ALL MARKETS, BERLIN STOCK EXCHANGE, BOLSA DE COMERIO DE BUENOS AIRES, BOLSA DE VALORES DE LIMA S.A., BOLSA DE VALORES DE MONTEVIDEO S.A., BOLSA ELECTRONICA DE VALORES DEL URUGUAY, BOLSA MEXICANA DE VALORES S.A. DE C.V., BORSA ISTANBUL, BORSA ITALIANA S.P.A., CHANNEL ISLANDS STOCK EXCHANGE, DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, EURONEXT AMSTERDAM, FRANKFURT

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, KAZAKHSTAN STOCK EXCHANGE JSC, LONDON METALS EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, LUZERNE STOCK EXCHANGE, MARKETAXESS, MERCADO ABIERTO ELECTRONICO S.A., MOSCOW EXCHANGE, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ OMX PHLX, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, PANAMA STOCK EXCHANGE, PFTS SECURITIES TRADING SYSTEM (UKRAINE), SHANGHAI GOLD EXCHANGE, SINGAPORE EXCHANGE DERIVATIVES TRADING, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, THE CANADIAN SECURITIES EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
Bloomberg Barclays U.S. Universal Index	Broad-Based	IUSB	ALL GERMAN SE, AUSTRALIA, BERLIN, BURSA MALAYSIA, CAYMAN ISLANDS, CHANNEL ISLANDS, COLOMBIA, DUBLIN, DUSSELDORF, EUROMTF, EURONEXT-

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			AMSTER, EURONEXT-PARIS, EUROTTLX, FRANKFURT, HONG KONG, LONDON, LUXEMBOURG, MERVAL, MOT, MUNICH, NASDAQ DUBAI, NEW YORK, OSLO, SGX-ST, SINGAPORE MAINBD, SIX, STUTTGART, TEL AVIV, TRACE
S&P 900 Growth Index	Broad-Based	IUSG	CBOE BZX, NASDAQ, NYSE
S&P 900 Value Index	Broad-Based	IUSV	NASDAQ, NYSE
MSCI ACWI ex USA IMI	Broad-Based	IXUS	CBOE EUROPE, BM&FBOVESPA S.A., NASDAQ DUBAI, QATAR EXCHANGE, FIRST NORTH SWEDEN, MOSCOW EXCHANGE, ELECTRONIC SHARE MARKET, TAIPEI EXCHANGE, ABU DHABI SECURITIES EXCHANGE, EURONEXT AMSTERDAM, ASX, ATHENS EXCHANGE S.A., STOCK EXCHANGE OF THAILAND, BOLSA DE VALORES DE COLOMBIA, EURONEXT BRUSSELS, BUDAPEST STOCK EXCHANGE, EGYPTIAN EXCHANGE, NASDAQ COPENHAGEN A/S, DUBAI FINANCIAL MARKET, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, DEUTSCHE BOERSE AG, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, BORSA ISTANBUL, TOKYO STOCK EXCHANGE, JASDAQ, JOHANNESBURG STOCK EXCHANGE, PAKISTAN STOCK EXCHANGE, BURSA MALAYSIA, KOSDAQ, KOREA EXCHANGE

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			(STOCK MARKET), EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, BOLSA MEXICANA DE VALORES, NASDAQ, NORDIC GROWTH MARKET, NASDAQ, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, PHILIPPINE STOCK EXCHANGE, PRAGUE STOCK EXCHANGE, SAUDI STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SINGAPORE EXCHANGE, SANTIAGO STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT), NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WARSAW STOCK EXCHANGE, WIENER BOERSE AG
Morningstar Mid Value Index	Broad-Based	JKI	NASDAQ, NYSE
MSCI EM Extended ESG Leaders 5% Issuer Capped Index	Broad-Based	LDEM	BM&FBOVESPA S.A. - BOLSA DE VALORES, MERCADORIAS E FUTURO, NASDAQ DUBAI, QATAR, MICEX STOCK EXCHANGE, ABU DHABI SECURITIES MARKET, ATHENS

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			EXCHANGE S.A. CASH MARKET, THAILAND, BOLSA DE VALORES DE COLOMBIA, BUDAPEST, EGYPTIAN, DUBAI FINANCIAL MARKET, HONG KONG, INDONESIA, ISTANBUL, JSE SECURITIES EXCHANGE, BURSA MALAYSIA, KOREA EXCHANGE, LONDON, BOLSA MEXICANA DE VALORES, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NEW YORK, PHILIPPINE, PRAGUE STOCK EXCHANGE, SAUDI STOCK EXCHANGE, SHENZHEN, SANTIAGO STOCK EXCHANGE, SHANGHAI, SHANGHAI STOCK EXCHANGE - SHANGHAI - HONG KONG STOCK CONNECT, TAIWAN, WARSAW
Bloomberg Barclays US Mortgage Backed Securities Index	Broad-Based	MBB	N/A
MSCI China Index	Broad-Based	MCHI	HONG KONG EXCHANGE, NASDAQ, NASDAQ, NYSE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SHENZHEN STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT)
ICE Exchange-Listed Preferred & Hybrid Securities Transition Index	Broad-Based	PFF	NYSE, NASDAQ. NYSE MKT
MSCI All Qatar Capped Index	Broad-Based	QAT	QATAR EXCHANGE
MSCI EAFE Small Cap Index	Broad-Based	SCZ	CBOE EUROPE, FIRST NORTH SWEDEN, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS,

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			<p>NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, DEUTSCHE BOERSE AG, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, TOKYO STOCK EXCHANGE JASDAQ, EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NORDIC GROWTH MARKET, NASDAQ, NASDAQ, NYSE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, SINGAPORE EXCHANGE, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WIENER BOERSE AG</p>
MSCI ACWI Sustainable Impact Index	Broad-Based	SDG	<p>CBOE EUROPE, ASX, STOCK EXCHANGE OF THAILAND, EURONEXT BRUSSELS, NASDAQ COPENHAGEN A/S, XETRA, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, BURSA MALAYSIA, KOREA EXCHANGE (STOCK MARKET), LONDON STOCK EXCHANGE, BOLSA DE MADRID, BOLSA MEXICANA DE VALORES, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, OSLO BORS ASA, EURONEXT PARIS, SAUDI STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SINGAPORE EXCHANGE, SANTIAGO STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE</p>

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			(HONG KONG STOCK CONNECT), NASDAQ STOCKHOLM AB, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WIENER BOERSE AG
ICE Short US Treasury Securities	Broad-Based	SHV	BERLIN STOCK EXCHANGE, BOLSA ELECTRONICA DE VALORES DEL URUGUAY, BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EURO TLX, FRANKFURT STOCK EXCHANGE, HI-MTF SIM SPA, KAZAKHSTAN STOCK EXCHANGE JSC, LONDON STOCK EXCHANGE PLC, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ OMX PHLX, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE
ICE U.S. Treasury 1-3 Year Bond Index	Broad-Based	SHY	AMERICAN STOCK EXCHANGE, BERLIN STOCK EXCHANGE, BOLSA DE VALORES DE MONTEVIDEO S.A., BOLSA ELECTRONICA DE VALORES DEL URUGUAY, BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EURO TLX, FRANKFURT STOCK EXCHANGE, HI-MTF SIM SPA, LONDON STOCK EXCHANGE PLC, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ OMX PHLX, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, PFTS SECURITIES TRADING

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			SYSTEM (UKRAINE), SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE
Markit iBoxx USD Liquid Investment Grade 0-5 Index	Broad-Based	SLQD	BERLIN STOCK EXCHANGE, BOLSA MEXICANA DE VALORES S.A. DE C.V., BORSA ITALIANA S.P.A., DEUTSCHE TERMINBORSE, DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, LUZERNE STOCK EXCHANGE, MARKETAXESS, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, , NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
Philadelphia Stock Exchange Semiconductor Index	Broad-Based	SOXX	NASDAQ
Bloomberg Barclays MSCI US Corporate 1-5 Year ESG Focus Index	Broad-Based	SUSB	BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, LUZERNE STOCK EXCHANGE, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
Bloomberg Barclays MSCI US Corporate ESG Focus Index	Broad-Based	SUSC	BOLSA MEXICANA DE VALORES S.A. DE C.V., BORSA ITALIANA S.P.A., DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, EURONEXT AMSTERDAM, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, LUZERNE STOCK EXCHANGE, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ CAPITAL MARKET, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
MSCI USA Extended ESG Leaders Index	Broad-Based	SUSL	NASDAQ, NEW YORK
ICE U.S.Treasury 20+ Year Bond Index	Broad-Based	TLT	EURO TLX, DUSSELDORF STOCK EXCHANGE, LONDON STOCK EXCHANGE PLC, STUTTGART STOCK EXCHANGE, BORSA ITALIANA S.P.A., SIX SWISS EXCHANGE, BERLIN STOCK EXCHANGE, MTS BONDVISION, MUNICH STOCK EXCHANGE, FRANKFURT STOCK EXCHANGE, TRADEGATE EXCHANGE, HI-MTF SIM SPA, BOLSA ELECTRONICA DE VALORES DEL URUGUAY, BOLSA DE VALORES DE MONTEVIDEO S.A., EURO TLX, NASDAQ OMX PHLX, WIENER BOERSE AG
MSCI All UAE Capped Index	Broad-Based	UAE	NASDAQ DUBAI, ABU DHABI SECURITIES EXCHANGE, DUBAI FINANCIAL MARKET, LONDON STOCK EXCHANGE
ICE BofA US Corporate Index	Broad-Based	USIG	ASX - ALL MARKETS, BERLIN STOCK EXCHANGE, BOLSA MEXICANA DE VALORES S.A. DE C.V., BORSA ITALIANA S.P.A., BURSA MALAYSIA KUALA LUMPUR (KLIBOR) FUT, DUSSELDORF STOCK EXCHANGE, EURO TLX, EUROMTF, EURONEXT, EURONEXT AMSTERDAM, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE,

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, HONG KONG STOCK EXCHANGE, INDIA INTERNATIONAL EXCHANGE (IFSC) LIMITED, INTERNATIONAL SECURITIES EXCHANGE, IRISH STOCK EXCHANGE, IRISH STOCK EXCHANGE, LABUAN INTERNATIONAL FINANCIAL EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, LUZERNE STOCK EXCHANGE, MARKETAXESS, MTS BONDVISION, MUNICH STOCK EXCHANGE, NASDAQ, NASDAQ STOCKHOLM AB, NEW YORK STOCK EXCHANGE, PANAMA STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, SINGAPORE EXCHANGE SECURITIES TRADING, SIX SWISS EXCHANGE, STUTTGART STOCK EXCHANGE, THE CANADIAN SECURITIES EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
MSCI USA Choice ESG Screened Index	Broad-Based	USXF	NASDAQ, NEW YORK
S&P Global Timber & Forestry Index	Broad-Based	WOOD	CBOE EUROPE, BM&FBOVESPA S.A., NASDAQ HELSINKI LTD, JOHANNESBURG STOCK EXCHANGE, LONDON STOCK EXCHANGE, NASDAQ, NYSE, NASDAQ STOCKHOLM AB, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
Morningstar Exponential Technologies Index	Broad-Based	XT	BM&FBOVESPA S.A., EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS, NASDAQ COPENHAGEN A/S, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, KOREA EXCHANGE (STOCK MARKET), LONDON STOCK EXCHANGE, BOLSA DE MADRID, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, EURONEXT PARIS, SINGAPORE EXCHANGE, NASDAQ STOCKHOLM AB, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT