

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

091-2995499

OMB APPROVAL

OMB Number: 3235-0504
Expires: July 31, 2016
Estimated average burden
hours per response. . . . 3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Cboe BZX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

See Attached.

3. Class of New Derivative Securities Product:

See Attached.

4. Name of Underlying Instrument:

See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument

Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:

Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable.

SEC
Mail Processing
Section
FEB 10 2020
Washington DC
413

Securities and Exchange Commission
Trading and Markets

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

FEB 10 2020

RECEIVED

Name of Official Responsible for Form:

Kyle Murray



Title: VP, Associate General Counsel

20004947

Telephone Number: 913-815-7121

Manual Signature of Official Responsible for Form:

Date: February 7, 2020

Section	19b-4
Rule	19b-4(e)
Public Availability	FEB 10 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BTYS	Corp	Index Linked Notes	Barclays 10Y US Treasury Futures Targeted Exposure Index	U.S.	Narrow



February 7, 2020

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section

FEB 10 2020

Washington DC
413

RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Cboe BZX Exchange on February 5, 2020. Please contact me if you have any questions in connection with this matter.

Sincerely,

Kyle Murray
VP, Associate General Counsel
913.815.7121

Securities and Exchange Commission
Trading and Markets

FEB 10 2020

RECEIVED